08-13555-mg Doc 10940 Filed 08/18/10 Entered 08/20/10 11:33:13 Main Document Claim # 7560 Lehman Brothers Holdings Inc, et al., Debtors Chapter 11 Case# 0813555 (JMP) (Jointly Cedministered) Enclosed Find: 1. Response to Debtor's Objection 2 Financial Statements Showing Gershase Cend Ownership of 1700 Preferred Shares of Lehman Brothers Capital Trust 1V 6.375% Durchased 9/80/05 3 Proof of Service by mail" and self a dobressed envelope Please send "Conformed Copy, Ortact me RUTH MALIN. 858-574-1155 DE E-MAIL! hMALIN@SAN. BA. COM hank you Buth malin, trustee AUG 1 8 2010 5401 Bahenton La Jolla, Ca, 92037 U.S. BANKRUPTCY COURT, SDNY JMP

UNITED STA	TES BANKR	UPTCY COURT
SOUTHERN	DISTRICT O	F NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

LBH 0M/M/31 07-16-2010 (MERGE2 TXNUM2) 4000054178 MAIL ID*** 0030505068 *** BSIUSE: 189

MALIN, RUTH 5401 BAHIA LANE LA JOLLA. CA 92037 Amy Blancherd Ruth Molin (214) 746-8151

THIS IS A NOTICE REGARDING YOUR CLAIM(S). YOU MUST READ IT AND TAKE ACTION IF YOU DISAGREE WITH THE OBJECTION.

IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR THE OBJECTION, PLEASE CONTACT DEBTORS' COUNSEL, AIMÉE N. BLANCHARD, ESQ., AT 214-746-7700.

NOTICE OF HEARING ON DEBTORS' THIRTY-FIRST OMNIBUS OBJECTION TO CLAIMS (INSUFFICIENT DOCUMENTATION CLAIMS)

CLAIM TO BE DISALLOWED & EXPUNGED

Creditor Name and Address:

MALIN, RUTH
5401 BAHIA LANE
LA JOLLA, CA 92037

CLAIM TO BE DISALLOWED & EXPUNGED

Claim Number: 7560

Date Filed: 8/6/2009

No Debtor Asserted

Classification and Amount: UNSECURED: \$ 42,785.00

PLEASE TAKE NOTICE that, on July 19, 2010, Lehman Brothers Holdings Inc. and certain of its affiliates (collectively, the "<u>Debtors</u>") filed their Thirty-First Omnibus Objection to Claims (Insufficient Documentation Claims) (the "<u>Objection</u>") with the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>").

The Objection requests that the Bankruptcy Court expunge, reduce, reclassify, and/or disallow your claim(s) listed above under CLAIM(S) TO BE DISALLOWED & EXPUNGED on the ground that said claim(s) violate the Bankruptcy Court's July 2, 2009 order setting forth the procedures for filing proofs of claim in these chapter 11 cases [Docket No. 4271], as they do not include supporting documentation or an explanation as to why such documentation is unavailable. Any claim that the Bankruptcy Court expunges and disallows will be treated as if it had not been filed and you will not be entitled to any distribution on account thereof.

If you do NOT oppose the disallowance, expungement, reduction or reclassification of your claim(s) listed above under CLAIM(S) TO BE DISALLOWED & EXPUNGED, then you do NOT need to file a written response to the Objection and you do NOT need to appear at the hearing.

If you DO oppose the disallowance, expungement, reduction or reclassification of your claim(s) listed above under CLAIM(S) TO BE DISALLOWED & EXPUNGED, then you MUST file with the Court and serve on the parties listed below a written response to the Objection that is received on or before 4:00 p.m. Prevailing Eastern Time on August 19, 2010 (the "Response Deadline").

A list of the Debtors, along with the last four digits of each Debtor's federal tax identification number, is available on the Debtors' website at http://www.lehman-docket.com.

In re LEHMAN BROTHERS HOLDINGS, INC., Case No. 08-13555 (JMP)

PROOF OF SERVICE BY MAIL

- I, Ruth M. Malin, declare that: I am over the age of eighteen years; I am a resident of in the County of San Diego, California, where the mailing occurs; and my address is 5401 Bahia Lane, La Jolla, California 92037.
- I further declare that I am readily familiar with the business' practice for collection and processing of correspondence for mailing with the United States Postal Service; and that the correspondence shall be deposited with the United States Postal Service this same day in the ordinary course of business.

I caused to be served the following document(s): CLAIMANT RUTH MALIN'S RESPONSE TO DEBTOR'S THIRTY-FIRST OMNIBUS OBJECTION TO CLAIMS (INSUFFICIENT DOCUMENTATION CLAIMS) by placing a true copy of such document in a separate envelope provided by a standard overnight delivery service addressed to each addressee, respectively, as follows:

Weil Gotshal & Manges, LLP Attorneys for Debtors 767 Firth Avenue New York, New York 10153 Attn: Shai Waisman, Esq. Office of the United States
Trustee for the Southern District
of New York
33 Whitehall Street, 21st Floor
New York, New York 10004
Attn: Andy Velez-Rivera, Esq.
Paul Schwartzberg, Esq.
Brian Masumoto, Esq.
Linda Riffkin, Esq.
Tracy Hope Davis, Esq.

Milbank, Tweed, Hadley & McCloy, LLP
Attorneys for Official Committee of Unsecured Creditors
1 Chase Manhattan Plaza
New York, New York 10005
Attn: Dennis F. Dunne, Esq.
Dennis O'Donnell, Esq.
Evan Fleck, Esq.

I then sealed each envelope and, with t	he delivery cost thereof fully prepaid, and
☐ I deposited each in the United State	s Postal Service at San Diego, California.
I placed each for collection and over	night delivery at a regularly utilized drop box of the
over night delivery carrier.	
I declare under penalty of perjury under	the laws of the United States that the foregoing is
true and correct.	
Executed on <u>\$ / /6 /</u> , 2010 Si	gnature: Buth Malin
	Ruth M. Malin

September 2005

(\$596.31)	\$42,806.60		\$43,404.31	-		Stocks Subtotal
(\$598.31)	\$42,806.00	\$25.18	\$43,404.31	\$25.5319		PHOTHERS CAPITAL / 14375% A2) S&P: BBB+
Gain/ (Loss)	Warket Value	Market Price	Transaction Total	Transaction Price	Symbol	40
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				0	107	

\$2,709

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SANFORD ROSENTHAL (619) 285-1040

TRUST IV 6.375% TRUST IV 6.375%

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10/05/05 PURCHASE

Activity

Symbol/ CUSIPO

Settle Activity

Quantity

1,300 400

25.17 25.16 Price

(33,189.66) (10,214.65)

Amount

\$3,857.07

TOTAL

Trade Date Description

WASETTLED TRADES

Trade Date Description

SETTLED TRADES

Settle Activity

Amount

Pocument
Portfolio Value

(continued)

A non-bank subsidiary of Wells Fargo & Company

Account number: ANTARCH NO. Vest Consultant: SANFORD ROSENTHAL Local: (619) 285-1040

MALIN REVOCABLE FAMILY TRST-M U/A DTD 09-30-83 RUTH M MALIN TTEE

OFAL PORTFOLIO VALUE

Transaction Total

Total Accrued Interest

<u>000 007 05</u>

Totai Market Value

(Loss)

Total Estimated Annual Income

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072743 3/4

September 2005

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Date Description	ion	Activity	Quantity	Price	Debit	Credit
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09/30/05 LEHMA	LEHMAN BROTHERS CAPITAL	PURCHASE	1,300	25.17	(33, 189.66)	
~~		37.38.23T	5			
	N BROTHERS CAPITAL	PUNCHASE	400	20.16	(10,214.65)	

(continued) A non-bank subsidiary of Wells Fargo & Company

Activity Details

SANFORD ROSENTHAL (619) 285-1040

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September 2005

_08-13555-mg	Filed 08/18/10 _ E	ntered 08/20/10 11	:33:13 Main Document
United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Chapter 11			OF OF CLAIM
Lehman Brothers Holdings Inc., et al. Case	ter 11 No. 08-13555 (JMP) Ily Administered)		
	io. of Debtoy		
NOTE: This form should not be used to make a claim for after the commencement of the case. A request for payme may be filed pursuant to 11 U.S.C. § 505. Additionally, the claim for Lehman Programs Securities (See definition of	an administrative expense arising out of an administrative expense is form should not be used to make a teverse side.)	THIS SPACE	IS FOR COURT USE ONLY
Name and address of Creditor: (and name and address different from Creditor) Ruth Malin	Ruth Malin		
5401 Bahid Lane Court Claim Number: (Vinown)			
Filed on:			
Telephone number: (858) 274-1155 Email Address:			į.
-Name and address where payment should be sent (if different from above) Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.			man of the profit of the second of the secon
Telephone number: Email Address: Check this box if you are the debtor or trustee in this case.			
1. Amount of Claim as of Date Case Filed: \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		S. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.	
Check this box if all or part of your claim is based on a Derivative Contract.* Check this box if all or part of your claim is based on a Guarantee.* "IF YOUR CLAIM IS BASED ON AMOUNTS OWED PURSUANT TO EITHER A DERIVATIVE CONTRACT OR A GUARANTEE OF A DEBTOR, YOU MUST ALSO LOG ON TO https://www.lehman.claims.com AND FOLLOW THE DIRECTIONS TO COMPLETE THE APPLICABLE QUESTIONNAIRE AND UPLOAD SUPPORTING DOCUMENTATION OR YOUR CLAIM WILL BE DISALLOWED.		Specify the priority of the claim: Domestic support obligations under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). Wages, salaries or commissions (up to	
Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of interest or additional charges. Attach itemized statement of interest or charges to this form or on http://www.lehman-claims.com if claim is a based on a Derivative Contract or Guarantee.			\$10,950), carned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. § 507(a)(4).
2. Basis for Claim: Purchase of Preferred Stock on 9/30/05 (See instruction #2 on reverse side.)			☐ Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(5). ☐ Up to \$2,425 of deposits toward purchase,
3. Last four digits of any number by which creditor identifies debtor: 9857 (Last 4 digits of SSN) 3a. Debtor may have scheduled account as: (See instruction #3a on reverse side.)			lease, or rental of property or services for personal, family, or household use - 11 U.S.C. § 307(a)(7).
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information.			Taxes or penalties owed to governmental units -11 U.S.C. § 507(a)(8).
Nature of property or right of setoff. Real Estate			Other -: Specify applicable paragraph of 11 U.S.C. § 507(a)().
Value of Property: SAnnual Interest Rate% Amount of arrearage and other charges as of time case filed included in secured claim, if any: \$Basis for perfection:			Amount entitled to priority:
Amount of Secured Claim: SAmount Unsecured: S 42,785			
6. Amount of Claim that qualifies as an Administr (See instruction #6 on reverse side.)			
7. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim. 8. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages and security agreements. Attach redacted copies of documents providing evidence of perfection of a security interest. (See definition of "reducted" on reverse side.) If the documents are voluminous, attach a summary. DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER		FOR COURT USE ONLY	
I/O NOT SEAD ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:			- Average of the Control of the Cont

Date:

بالتعديث

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

Kuth Malm

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.